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PATENT
Attorney Docket No. DPL-014

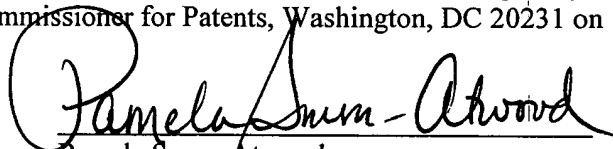
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **RECEIVED**

DEC 27 2002
Technology Center 2100

APPLICANT: Shu
SERIAL NO.: 10/025,113 GROUP NO.: 2152
FILING DATE: December 19, 2001 EXAMINER: Not Yet Assigned
TITLE: DISTRIBUTED FAULT TOLERANT AND SECURE STORAGE

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on this 19th day of December, 2002.

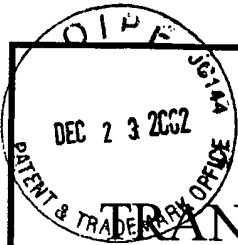

Pamela Sousa-Atwood

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith are:

1. Transmittal Form (1 page);
2. Statement Regarding Small Entity Status (1 page);
3. Supplemental Information Disclosure Statement (2 pages);
4. Form PTO-1449 (1 page);
5. Copies of references cited (A37-A40, B1-B2, and C2-C8); and
6. a Return Receipt Postcard.



TRANSMITTAL FORM

Application Serial Number	10/025,113
Filing Date	December 19, 2001
First Named Inventor	Shu
Group Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket No.	DPL-014
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

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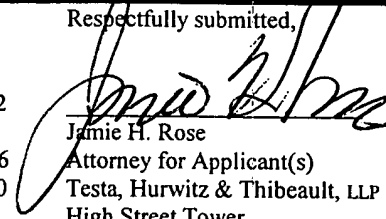
ENCLOSURES (check all that apply)

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|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Check Attached
<input type="checkbox"/> Copy of Fee Transmittal Form
<input type="checkbox"/> Amendment/Response
<input type="checkbox"/> Preliminary
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]
<input type="checkbox"/> Petition for Extension of Time
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement
<input checked="" type="checkbox"/> Form PTO-1449
<input checked="" type="checkbox"/> Copies of IDS Citations
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Sequence Listing submission
<input type="checkbox"/> Paper Copy/CD
<input type="checkbox"/> Computer Readable Copy
<input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)
<input type="checkbox"/> Formal Drawing(s)
<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal
<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application
<input checked="" type="checkbox"/> Small Entity Statement
<input type="checkbox"/> CD(s) for large table or computer program
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<input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8
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<input type="checkbox"/> Additional Enclosure(s) (please identify below) |
|---|---|---|

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Respectfully submitted,

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STATEMENT REGARDING SMALL ENTITY STATUS

Attorney Docket No. DPL-014

Applicant, Patentee, or Identifier: Shu

Application or Patent No.: 10/025,113

Date Filed or Issued: December 19, 2001

Title: DISTRIBUTED FAULT TOLERANT AND SECURE STORAGE

NAME OF SMALL BUSINESS OR NONPROFIT ORGANIZATION: Charles Stark Draper Laboratory.ADDRESS OF SMALL BUSINESS OR NONPROFIT ORGANIZATION: 555 Technology Square, Cambridge, MA 02139.

I hereby state that the above identified small business concern or nonprofit organization qualifies as a small entity as defined in 37 CFR 1.27 for purposes of paying reduced fees to the United States Patent and Trademark Office. For a small business concern, the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern or nonprofit organization identified above with regard to the invention described in:

- ☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern or nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must execute separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.27(a)(1) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2), or a nonprofit organization under 37 CFR 1.27(a)(3).

- ☒ No such person, concern, or organization having any rights in the invention exists.
☐ Each such person, concern, or organization having any rights in the invention is listed below.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

NAME OF PERSON SIGNING Joseph M. Wolfe, Jr.

TITLE OF PERSON SIGNING Vice President Finance and Administration

ADDRESS OF PERSON SIGNING 555 Technology Square, Cambridge, MA 02139

SIGNATURE

DATE

NOV 12 2002